# Compensation for Multilevel Voltage Waveform Generated by Dual Inverter System

Yoshiaki Oto Environment and Energy Systems Shizuoka University Shizuoka, Japan ohto.yoshiaki.15@shizuoka.ac.jp

Takahiro Yamada Power Electronics R&I Department DENSO CORPORATION Aichi, Japan takahiro t yamada@denso.co.jp Toshihiko Noguchi Environment and Energy Systems Shizuoka University Shizuoka, Japan noguchi.toshihiko@shizuoka.ac.jp

Ryoya Kazaoka Power Electronics R&I Department DENSO CORPORATION Aichi, Japan ryoya\_kazaoka@denso.co.jp Takanari Sasaya Power Electronics R&I Department DENSO CORPORATION Aichi, Japan takanari\_sasaya@denso.co.jp

Abstract— A space vector modulation (SVM) technique of a dual inverter system feeding an open-end-winding PM motor and improvement technique of generated multi-level voltage waveforms with the system are proposed in the paper. In the proposed system, one of the inverters has a battery power source and the other has a capacitor across the DC-bus. The SVM must be achieved both to generate multilevel voltage waveforms to the motor and to control the capacitor voltage constantly at the same time by using redundant switching states of the system. In order to control the capacitor voltage, it is necessary to select the optimal switching state with SVM considering the instantaneous motor power factor. In addition, it is also necessary to eliminate error voltage vectors contained in outputted multilevel voltage waveform. The error voltage vectors are generated during the dead time when the switching devices in the same phase of both inverters are synchronously turned on or turned off. Therefore, the special dead time technique is need for the SVM of the dual inverter system. The paper demonstrates proposed SVM techniques through computer simulation and experimental tests.

## Keywords—Dual inverter system, space vector modulation, open-end-winding motor, capacitor voltage control, dead time

# I. INTRODUCTION

In recent years, mileage improvement and autopilot technologies are focused on to reduce carbon dioxide emission of hybrid vehicles. Many of the current hybrid vehicles are based on the combination of a bidirectional chopper and a twolevel inverter, and drive a high-voltage permanent magnet (PM) motor. Therefore, the line-to-line voltage of the motor is a three-level waveform with high dv/dt, which may cause deterioration of the total harmonic distortion (THD), conduction noise, and radiation noise. The current system also has a drawback from the viewpoint of the failsafe because the motor cannot be operated if either the chopper or the inverter is failed. In order to solve the problems above, a dual inverter system which drives open-end-winding motor with two inverters is researched. In the conventional dual inverter system, the both inverters have a battery power source across the DC-bus and the battery voltages are same value [1]. On the other hand, one of the battery power source across the DC-bus is replaced with capacitors and the capacitor voltage is







Fig. 2. Open-end winding motor drive by dual inverter system.

controlled at a half value of the other hand battery voltage in the proposed dual inverter system [2][3]. In the case, it is required both to control the capacitor voltage at commanded value and to generate multilevel voltage waveform to the openend-winding motor terminals at the same time. Particularly, it is indispensable to take the instantaneous motor power factor into account for the capacitor voltage control, i.e., charging or discharging control of the capacitor. The proposed space vector modulation (SVM) technique makes it possible to achieve both to capacitor voltage control and multilevel voltage waveform generation at the same time [4]. However, the outputted multilevel voltage waveforms with proposed system contain a lot of unexpected error voltage pulses, which are generated during the dead time when the both switching devices in the same phase are synchronously turned on or turned off [5]. The measure to improve the multilevel voltage waveforms is proposed, however, this is incomplete because it doesn't consider the fluctuation of switching speed due to the motor current norm [6]. In the paper, a novel strategy of the SVM for the dual inverter system is proposed, which allows the capacitor voltage control and the multilevel voltage waveform generation at the same time according to the instantaneous motor power factor. Furthermore, the compensation for synchronous switching of dual inverter system is also proposed in this paper, and the above SVM techniques are examined through several experimental tests.

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# II. CONFIGURATION OF DUAL INVERTER SYSTEM

# A. Circuit Configration of Proposed Dual Inverter System

Fig. 1 shows the conventional motor drive system with a single two-level inverter which drives a three-phase PM motor with a neutral point inside of the motor. Fig. 2 is a circuit diagram of the proposed dual inverter system with two single two-level inverters which drives an open-end-winding PM motor. The left-hand side inverter is called INV 1 and the other on the right-hand side is INV 2 whose DC-bus battery power sources are replaced with capacitors. Each leg of the both inverters is complementary operated, and the combination of the switching states is expressed as (u1, v1, w1) (u2, v2, w2), where the switching states "1" and "0" mean that the upper arm is turned on and off, respectively.

The dual inverter system can generate the multilevel voltage waveforms by adding or subtracting one inverter output voltage to/from the other inverter output voltage. The system has a drawback of increasing the inverter counts and their DCbus battery power source counts, but does not require any voltage boost power converter such as the bidirectional chopper. The multilevel voltage waveform generation is expected to improve the THD resulting in the copper loss and iron loss reductions, and expected to reduce the conduction noise and the radiation noise owing to the reduction of dv/dt. The dual inverter system has the redundancy in the switching states. In other words, a particular voltage vector can be applied to the motor with different several switching states, which allows operation of the motor with controlling the capacitor voltage of INV 2. If capacitors are connected in parallel to the batteries across both side inverter DC-buses respectively, the dual inverter system can continue to drive the motor even if one of the batteries is in failure. Because the switching state redundancy makes it possible to keep the capacitor voltage on the failure side at constant value and to achieve continuous operation of the motor as the dual inverter drive as shown in Fig. 2. In addition, in the case of the failure in one switching device of either inverter, the system can continue to operate the motor as a single inverter drive by shorting the three-phase windings with normal condition arms of the fail inverter. In this way, the proposed dual inverter system has advantages of failsafe.

## B. DC-Bus Voltage Ratio and Switching State Redundancy

The dual inverter system discussed in the paper controls the capacitor voltage of INV 2 at half of the INV 1 DC-bus battery voltage. The reason is, in addition to generate the 9-level voltage waveform, to utilize the switching state redundancy.

The single inverter can output 8 voltage vectors, i.e., 2 and 6 of them are zero-voltage vectors and non-zero voltage vectors, respectively. The output voltage vectors of the dual inverter system are sum of the output voltage vectors of the two inverters. Fig. 3 shows the output voltage vectors from 0 to 60 deg. of the two dual inverter systems whose DC-bus voltage ratios are 1:1 and 2:1, respectively. In the figure, the capacitor charging, holding, and discharging modes are noted as "+," "0," and "-" after the switching states, respectively. As shown in Fig. 3, a particular voltage vector can be applied to the motor by using different several switching states, which means the







Fig. 4. Voltage vectors used in SVM and definition of modulation index.

both systems have the switching state redundancy. However, only one of the capacitor charging mode or the discharging mode is available among the redundant switching states in the case of the 1:1 DC-bus voltage ratio; hence, it is impossible to switch over the charging mode and the discharging mode redundantly. On the other hand, there is both of the charging mode and the discharging mode in the redundant switching states of the dual inverter system with the 2:1 DC-bus voltage ratio. This makes it possible to control the capacitor voltage and simultaneously to generate multilevel voltage waveform, which is the reason why the 2:1 DC-bus voltage ratio has been employed for the proposed dual inverter system. However, the longest voltage vectors that configure the most outer hexagon do not have the redundancy even if the 2:1 voltage ratio is employed, so the longest voltage vectors are not utilized in the SVM discussed in the paper.

# III. SELECTION OF VOLTAGE VECTORS IN SVM AND DEFINITION OF MODULATION INDEX

Fig. 4 illustrates the voltage vectors from 0 to 60 deg. of dual inverter system employed 2:1 DC-bus voltage ratio, where the symbol "m" denotes a modulation index. The modulation index is defined as m = 1.0 when the circular locus of the voltage vector generated by the SVM is inscribed in the outer hexagon and m = 0.5 when the locus is inscribed in the inner hexagon. As shown in Fig. 3., the system can generate a longer voltage vector shown in Fig. 3., the system can generate a longer voltage vectors which configure the most outer hexagon, however, the longest vectors do not have a switching redundancy, i.e., it cannot operate capacitor voltage with SVM. Therefore the modulation index m = 1.0 is defined as above, where the capacitor voltage control is achieved with SVM.

The SVM is carried out by synthesizing three discrete inverter voltage vectors at appropriate ratio to output a



Fig. 5. Simultaneous control of multilevel voltage waveform generation and capacitor voltage with SVM.

commanded voltage vector. The commanded voltage vector can be synthesized by using three voltage vectors surrounding the commanded voltage vector in a triangle shape. For example, in the case that the commanded voltage vector is in the #1 triangle sector, the three voltage vectors V0, V0in, and V30 surrounding the corresponding triangle sector are used for the SVM to synthesize the commanded voltage vector, which contributes to reduce the dv/dt. The triangle sectors from #1 to #3 do not use zero-voltage vectors Vz for the SVM, resulting in 9-level voltage waveforms across each motor winding, but Vz must be used in the sector #4.

# IV. CAPACITOR VOLTAGE CONTROL AND IMPACT OF INSTANTANEOUS MOTOR POWER FACTOR

## A. Capacitor Voltage Control of INV2

The DC-bus voltage sources of INV 2 are replaced with capacitors in the proposed dual inverter system of the paper. The capacitor voltage must be controlled at constant value by charging and discharging it, and simultaneously multilevel voltage waveform generation must also be achieved.

The dual inverter system has redundant switching states and can output a particular voltage vector with different several redundant switching states as described previously. The capacitor charging mode or the discharging mode changes according to polarity of the current flowing into the capacitor. As illustrated in Fig. 5, the multilevel voltage waveform generation with the SVM and the capacitor voltage control can simultaneously be carried out by selecting an appropriate switching state among the redundant states.

#### B. Impact of Instantaneous Motor Power Factor

Fig. 6 shows the relationship between the phase of motor line current vector and the polarities of the motor line currents, where the polarity signs "+" means a motor current direction from INV 1 to INV 2, while "-" means the opposite direction. The capacitor charging mode or the discharging mode is determined by the motor line current directions in every switching state. The PM motor connected to dual inverter system is, however, inherently an inductive load; thus, the motor line currents lag behind the voltage vector generated with SVM. Each line current direction changes according to the instantaneous motor power factor, which is affected by the motor operating conditions and the motor parameters. This implies that the instantaneous motor power factor must be taken into account to control the capacitor voltage. Let's



Fig. 6. Relationship between current vector phase angle and motor line currents polarity.



Fig. 7. Relationship between redundant switching states and capacitor voltage control modes.

assume the case that the commanded voltage vector is in the hatched sector as illustrated in Fig. 6. The three voltage vectors V60, V60in, and V30 are used to synthesize the command voltage vector. The maximum lagging phase angle between the voltage vector and the current vector is 90 deg., which means that the current polarity is in one of "B," "A," and "F." Two of the redundant switching states to generate V30 voltage vector are shown in Fig. 7, for example, where the relationship between the motor line current polarity and the capacitor charging or the discharging mode. A switching state (110)(010)' is the capacitor charging mode in the case of the current vector direction "B," but the switching state is capacitor



Fig. 8. Control block diagram of dual inverter system for open-end winding PM motor drive.

TABLE . COMPUTER SIMULATION CONDITIONS.							
Sw	equency 10 kHz						
Volta	300 V						
Voltag	$150\pm5$ V						
Capacita	1329.4 <i>μ</i> F						
Motor	1150, 2300 r/min						
	0 <i>µ</i> s						
	Number of poles	8					
	Number of flux linkage	0.174 Wb					
Motor	Moment of inertia	0.00173 kgm <sup>2</sup>					
parameters	Damping coefficient	0.005 N/rad/s					
	Phase resistance	1 Ω					
	Phase inductance	5 mH					

discharging mode in the case of the current vector direction "A" or "F." In general, the relationship between the switching state and the capacitor charging or discharging mode cannot uniquely be determined if the phase angle of motor line current vector changes due to the instantaneous motor power factor. Therefore, it is indispensable for the SVM to select an optimal switching state among the redundant switching states that makes it possible to control the capacitor voltage at constant value according to the instantaneous motor power factor.

## V. COMPUTER SIMULATION RESULTS

Some computer simulations have been conducted to examine the basic operation of the dual inverter system feeding the open-end winding PM motor, where the DC-bus power source of INV 2 is replaced with capacitors. The control block diagram is indicated in Fig. 8. The motor is controlled with a field-oriented control (vector control) algorithm, so has a speed control loop and a current control loops in the controller. The phase angle between the voltage vector and the motor line current vector is calculated on the synchronously rotating (d-q) reference frame, which is utilized to select the most optimal switching state among the redundant switching states, as well as the feedback value of the capacitor voltage of INV 2.

TABLE and Fig. 9 show the simulation conditions and the simulation results, respectively. The motor speed, the instantaneous motor power factor, the capacitor voltage, and the voltage across the U-phase winding terminals are shown from the top to the bottom of Fig. 9. As can be seen in the figure, even though the dynamic variation of the instantaneous motor power factor is caused by a transient acceleration of the motor speed, the capacitor voltage is properly kept constant at



 $150\pm5$  V. At the same time, the 5-level voltage waveform is observed at low modulation index (m = 0.4, 1150 r/min) while the 9-level voltage waveform is observed at high modulation index (m = 0.8, 2300 r/min.)

## VI. EXPERIMENTAL SETUP AND TEST RESULTS

Experimental tests have been conducted with an experimental setup of the dual inverter system as shown in Fig. 10. The open-end winding PM motor is controlled by a fieldoriented control (vector control) algorithm, and its speed is regulated at 840 r/min (m = 0.4) and 1680 r/min (m = 0.8) by a load servo motor directly connected to the test motor. The rated output power of the test motor is 1 kW, and is fed by the two 5kVA inverters in which one of the inverter has only the capacitor across the DC-bus. Experimental test conditions and the test results are shown in TABLE and Fig. 11. respectively. As shown in the test results, the multilevel voltage waveform is outputted across the open-end winding of the test motor, but contains a lot of unexpected error pulses in the waveform, which detrimentally deteriorates the THD of the voltage waveform. In particular, the dv/dt deteriorates in case of capacitor charging mode at low modulation index and capacitor discharging mode at high modulation index. However, the three-phase line currents are balanced, and the capacitor voltage is properly controlled to be around 150 V within the operated hysteresis band. Therefore, the proposed approach works well, but must be improved to generated multilevel voltage waveforms.



Fig. 10. Experimental setup of dual inverter system.

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TABLE II. EXPERIMENT TEST CONDITIONS.					
Swi	10 kHz				
Voltag	300 V				
Voltage	150±5 V				
Capacitan	1329.4 μF				
<i>d</i> -axis cu	0 A				
<i>q</i> -axis cu	1 A				
	840, 1680 r/min				
	4 <i>μ</i> s				
	Number of poles	8			
	Rated power	1000 W			
	Rated speed	2000 r/min			
Motor parameters	Rated torque	4.78 Nm			
	Rated current	3.7 A			
	Armature resistance	1.1 Ω			
	Number of flux linkage	0.174 Wb			
	d-axis inductance	11.0 mH			
	q-axis inductance	25.0 mH			

#### VII. IMPACT OF DEAD TIME

## A. Dead Time Technique of Dual Inverter System

Particular switching sequences are included on the dual inverter system with the 2:1 DC-bus voltage ratio, where the switching devices in the same phase of both inverters are synchronously turned on or turned off. For example, let's assume the transition from a switching state "A" to "B" as shown in Fig. 12, where the upper arms of the both inverters are turned on in "A," while both lower arms are turned on in "B." Because the switching state during the dead time is determined by the line current direction, the error voltage vector is generated because the switching state of INV1 and INV2 during the dead time are "0" and "1," respectively. Fig. 13 shows an example of the unexpected error voltages inserted during each dead time. In the case of the commended voltage vector in the hatched sector, the three voltage vectors V60, V60in, and V30 are used for the SVM. The proper switching sequence is V60in  $\rightarrow$  V30  $\rightarrow$  V60  $\rightarrow$  V60 in  $\rightarrow$  ..., however, the irregular voltage vectors such as V120in, V0, and Vz are generated during every dead time of synchronous switching.

TABLE III shows the dead time technique of dual inverter system to eliminate unexpected irregular voltage vectors during the dead time in case of the line current direction from INV1 to INV2. The upper arms of the both inverters are turned on in state #1. The first dead time is inserted in INV2 where the line current follows into INV2 in state #2, when the upper arm of INV1 keeps turning on, and the upper arm of INV2 also keeps turning on with the diode. The second dead time starts to be inserted in INV1 where the line current follows out



Fig. 11. Experimental test results.

immediately after the first dead time of INV2 is finished at the start of state #3. In state #3, the lower arm of INV1 turns on with the diode which is during the second dead time, and the lower arm of INV2 also turns on which is after the first dead time. After the second dead time of INV1 is finished, the lower arms of the both inverters turn on in state #4. In this way, it can synchronously turn on or turn off the switching devices in the same phase of the both inverters without error voltages.

# B. Proposed Compensation for Synchronous Switching of Dual Inverter System

However, there is a difference of the switching speed between switching devices in the same phase of INV1 and INV2; hence, it is difficult to insert the second dead time precisely at the end of the first dead time. Fig. 14 shows the difference of switching timings between INV1 and INV2 obtained through the experimental test, which means the difference is changed by the line current norm. In the figure, the positive line current indicates a direction from INV 1 to INV 2 and the negative does the opposite. Let's assume the synchronous turning off as shown in TABLE III, where the turning off time of the switching device of INV1 is expressed as toff1, the turning on time of INV2 as ton2, and the reverse recovery time of the diode of INV2 as trr2. When the synchronous switching is done and the state changes from #2 to #3, the lower arm of INV1 gets turning on with diode after the upper arm turns off with the IGBT, while the lower arm of INV2 gets turning on with the IGBT after the upper arm turns off with the diode. In this case, the switching time of INV1 is almost equal to toff1 because the turning on time of the diode can be ignored for such a high speed, while the switching time of INV2 is the sum of the reverse recovery time of diode and the turning on time of IGBT, i.e., trr2 + ton2. As a result, the switching time of INV1 is longer than INV2 because the reverse recovery time of INV2 is shorter in case the line current norm is small, i.e., toff1 > trr2 + ton2.

The test results employed the conventional dead time technique and the dead time technique with proposed compensation are shown in Fig. 15 and Fig. 16, respectively. It is observed that the dv/dt is reduced by 16.7 % at low modulation index (m = 0.4) and deteriorated by 2.7 % at high modulation index (m = 0.7) with conventional dead time technique, on the other hand the dv/dt is reduced by 30.6 % at low modulation index (m = 0.4) and deteriorated by 4.0 % at high modulation index (m = 0.7) with proposed dead time



Fig. 12. Impact of dead time in synchronous switching.



TABLE . Dead time technique to eliminate error voltage vectors.

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	State	INV1		INV2		Dhaga Valtaga			
		Up	Un	Up	Un	Phase voltage			
	#1	1	0	1	0	Vdc1-Vdc2			
	#2	1	0	0	0	Vdc1-Vdc2			
	#3	0	0	0	1	0			
	#4	0	1	0	1	0			

technique. Therefore, the proposed approach works well at low modulation index, but there is not remarkable improvement at high modulation index. At high modulation index, there is not only synchronous switching in the same phase of both inverters in the SVM sequence, but complicated switching transients where the switching devices in several phases in one inverter get turned on or turned off at the same time. For this reason, it is difficult to improve the multilevel voltage waveform with the above dead time technique at high modulation index.

# VIII. CONCLUSION

This paper has described SVM techniques of the dual inverter system for open-end winding PM motor drive, where one of the inverters has only capacitors across the DC-bus. The SVM is achieved to output voltage waveforms across the openend winding terminals and simultaneously regulate the capacitor voltage at a half of the other battery voltage by selecting an appropriate switching state among the redundant states. In particular, it is indispensable for capacitor voltage control with the proposed SVM to consider the instantaneous motor power factor. This paper has also been described that the dead time causes unexpected irregular voltages in the generated multilevel voltage waveforms and proposed compensation for the conventional dead time technique. It has been verified that the dead time technique employed the proposed compensation eliminates the irregular voltage vectors in the output multilevel



Fig. 16. Experimental results with proposed dead time technique. voltage waveforms through experimental tests. However, the output multilevel voltage waveforms still have error voltage pulses, which will be investigated in detail in future works.

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